

# Measurements of diffractive processes at HERA

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for the H1 & ZEUS Collaboration

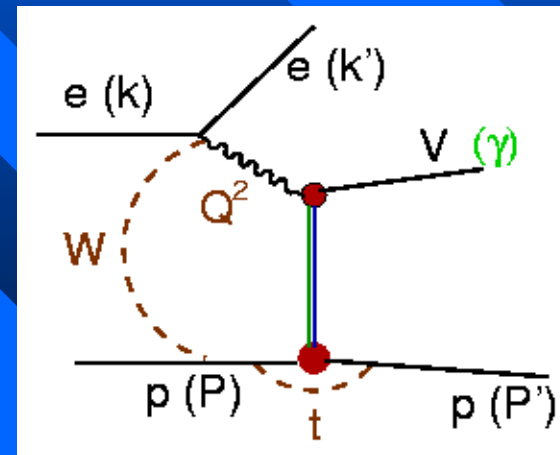
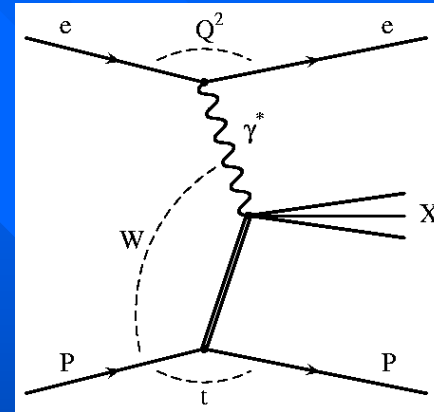
Tel Aviv University

HCP 2002 Karlsruhe

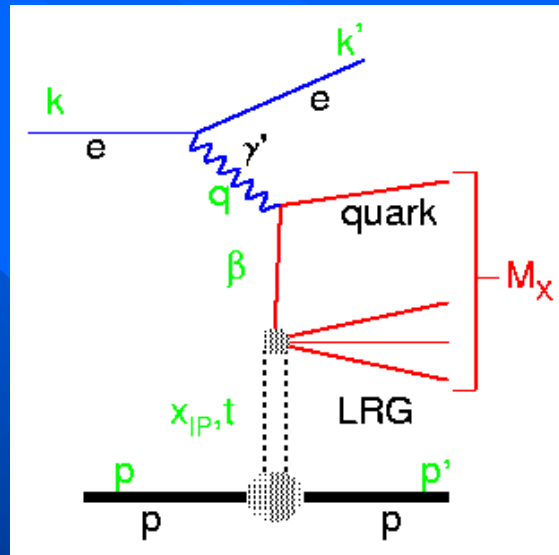
30.09-04.10, 2002

# Outline

- Inclusive processes
- Exclusive vector mesons
- DVCS



# Kinematics in ep scattering



$$Q^2 = -q^2 = -(k - k')^2$$

$$x = \frac{Q^2}{2p \cdot q}$$

$$y = \frac{q \cdot p}{k \cdot p}$$

$$s = (k + p)^2$$

$$W^2 = (q + p)^2$$

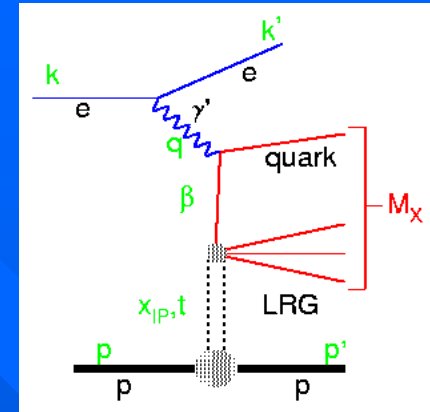
$$x_F = \frac{q \cdot (p - p')}{q \cdot p} \simeq \frac{Q^2 + M_X^2}{Q^2 + W^2}$$

$$\beta = \frac{Q^2}{2q \cdot (p - p')} \simeq \frac{Q^2}{Q^2 + M_X^2}$$

$$t = (p - p')^2$$

# Picture of soft diffraction

LRG due to exchange of Pomeron trajectory



$$\alpha_P(t) = \alpha_P(0) + \alpha'_P \cdot t$$

$$\sigma_{\text{tot}} \sim s^{\alpha_P(0)-1}$$

$$\frac{d\sigma_{\text{el}}}{dt} \sim \frac{\sigma_{\text{tot}}^2}{16\pi} e^{2(b_0^{\text{el}} + \alpha'_P \ln s)t}$$

$$\frac{d^2\sigma_D}{dt dx_P} \sim \left(\frac{1}{x_P}\right)^{2\alpha_P(t)-1} e^{2(b_0^D - \alpha'_P \ln x_P)t}$$

D stands for diffraction and

$x_P$  is  $M_x^2/s$ .

Experimentally  $\alpha(0)=1+\epsilon$

$\epsilon=0.08-0.10$  and  $\alpha'_P=0.25 \text{ GeV}^{-2}$

- expect

$$\frac{\sigma_{\text{el,D}}}{\sigma_{\text{tot}}} \sim s^\epsilon$$

- shrinkage of the t slope

$x_P$

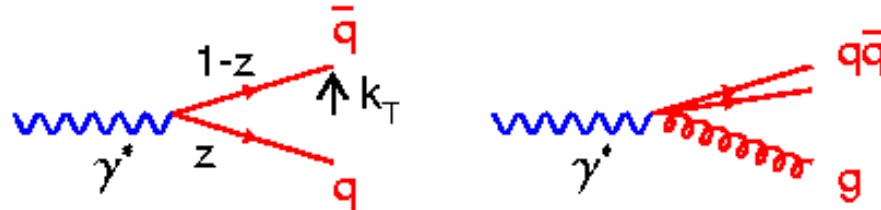
- enhancement of low diffractive masses

$$\frac{d\sigma_D}{dx_P} \sim \left(\frac{1}{x_P}\right)^{1+2\epsilon}$$

## Picture of Diffraction in DIS

In the target rest frame:

(1)  $\gamma^* \rightarrow q\bar{q}$  or  $q\bar{q}g$  forming **color dipoles**



$$\tau_{\text{fluctuation}} \simeq \frac{1}{2mz} \gg 1 \text{ fm}$$

(2) The **dipole** interacts with the target  $T$

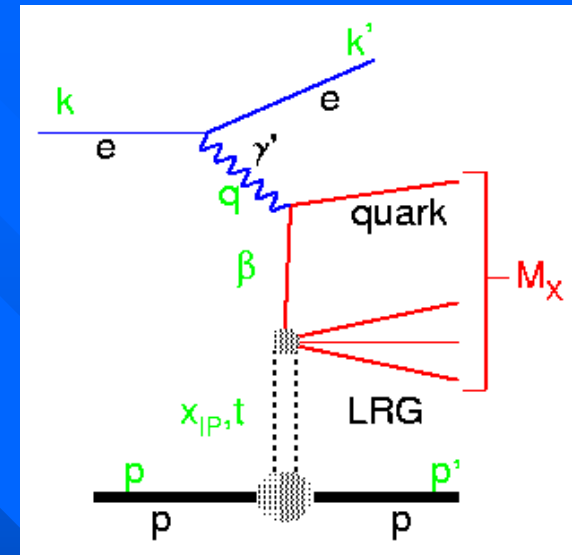
- if  $k_T$  large, small transverse size  $r \rightarrow$  **pQCD**

$$\sigma_{q\bar{q}T} = \frac{\pi^2}{3} r^2 \alpha_S(Q^2) x G_T(x, Q^2 \simeq \frac{\lambda}{r^2})$$

$$\sigma_{q\bar{q}gT} = \sigma_{ggT} = \frac{9}{4} \sigma_{q\bar{q}T}$$

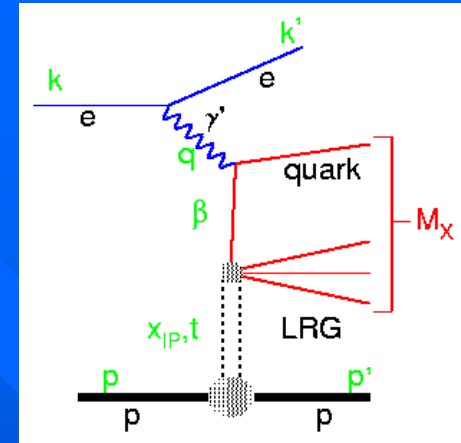
**Color transparency**

- if  $k_T$  small, large transverse size  $r \rightarrow$  **non-perturbative physics dominates**



- Diffractive structure function  $F_2^D$

$$\frac{d^4\sigma^D(ep \rightarrow epX)}{dx_F dt dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} (1+(1-y)^2) \frac{d^2 F_2^D(x_F, t, x, Q^2)}{dx_F dt}$$



- QCD factorization for diffractive DIS holds

(Collins, Berera & Soper, Trentadue & Veneziano)

$$\frac{d^2 F_2^D(x_F, t, x, Q^2)}{dx_F dt} = \sum_i \int dz \frac{d^2 f_{i/p}^D(x_F, t, z, \mu^2)}{dx_F dt} \hat{F}_i\left(\frac{x}{z}, \frac{Q^2}{\mu^2}\right)$$

diffractive parton distribution functions
pQCD as in incl. DIS

Diffractive parton distributions evolve in  $\mu^2$  following DGLAP equation

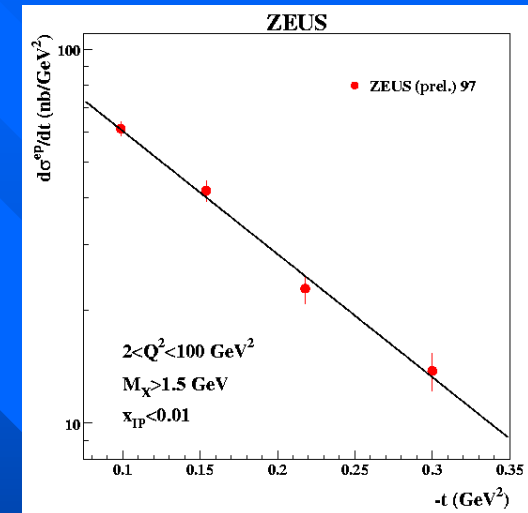
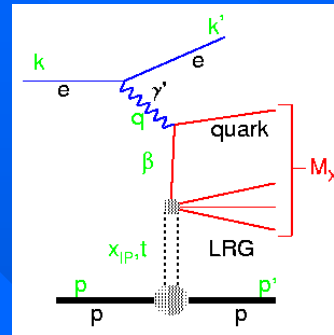
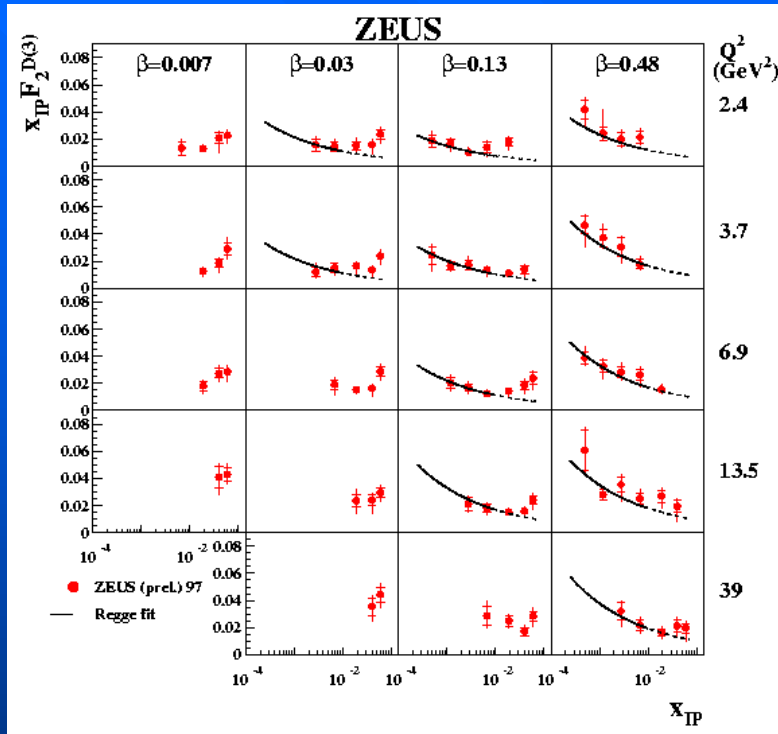
- If in addition postulate Regge factorization (Ingelman & Schlein)

$$\frac{d^2 F_2^D(x_F, t, x, Q^2)}{dx_F dt} = f_{P/p}(x_F, t) F_2^{IP}(\beta, Q^2)$$

$F_2^{IP}(\beta, Q^2)$  evolves following DGLAP equations

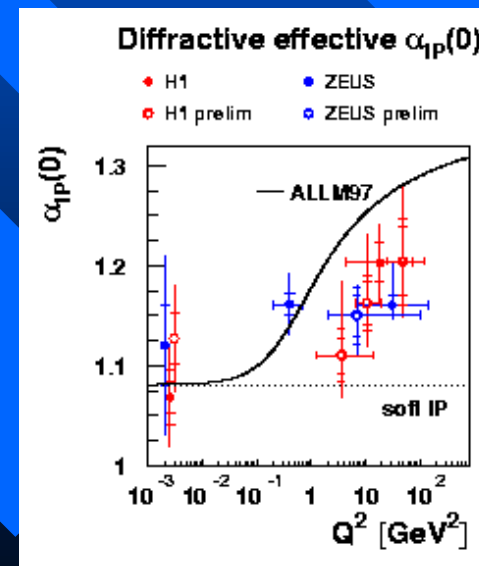
# Diffractive Structure Function

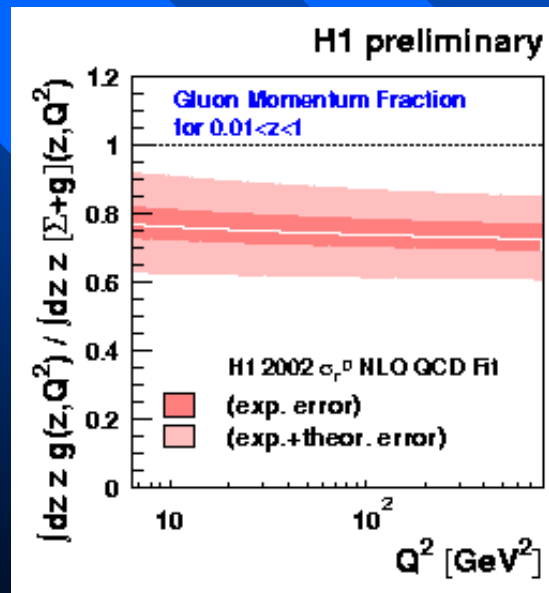
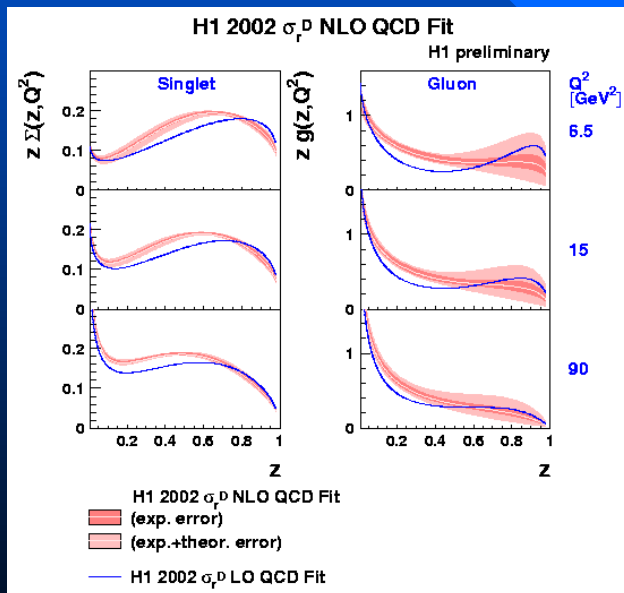
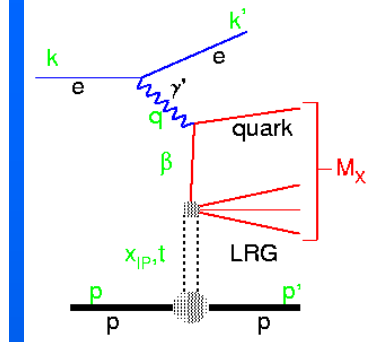
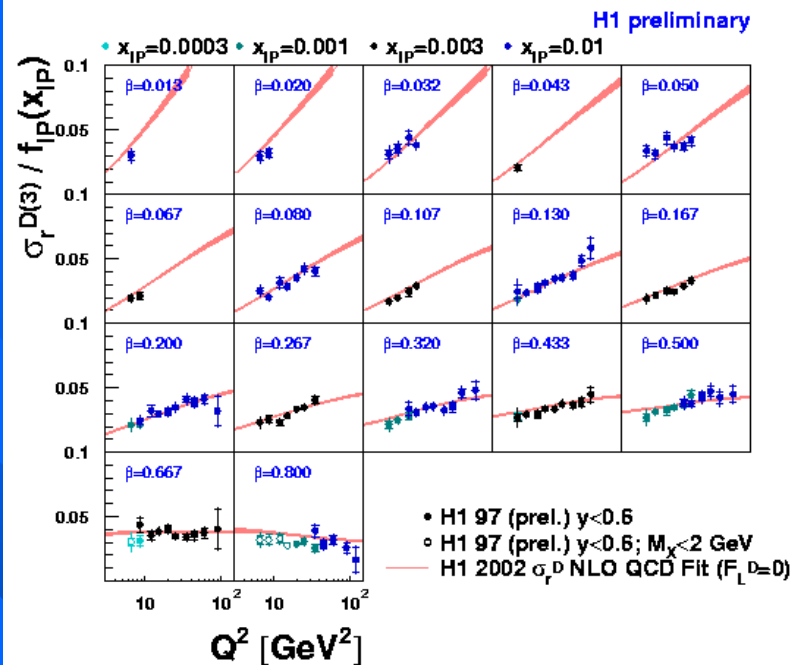
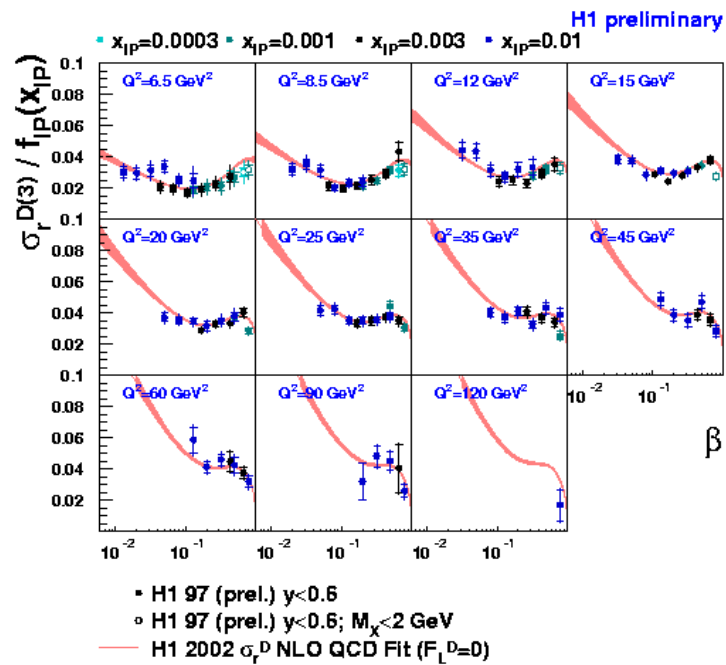
$F_2^{D(3)}$  – integrate over  $t$



Fit all data with one value of flux factor

$$x_F F_2^{D(3)}(x_F, \beta, Q^2) \sim \frac{1}{x_F^{2\alpha_F - 2}} F_2^F(\beta, Q^2)$$





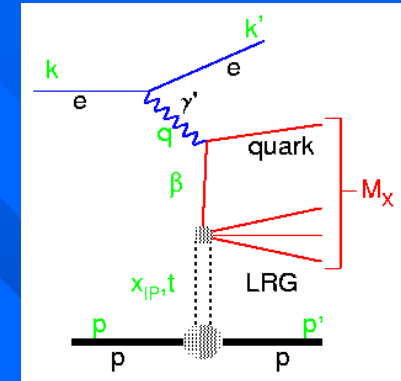
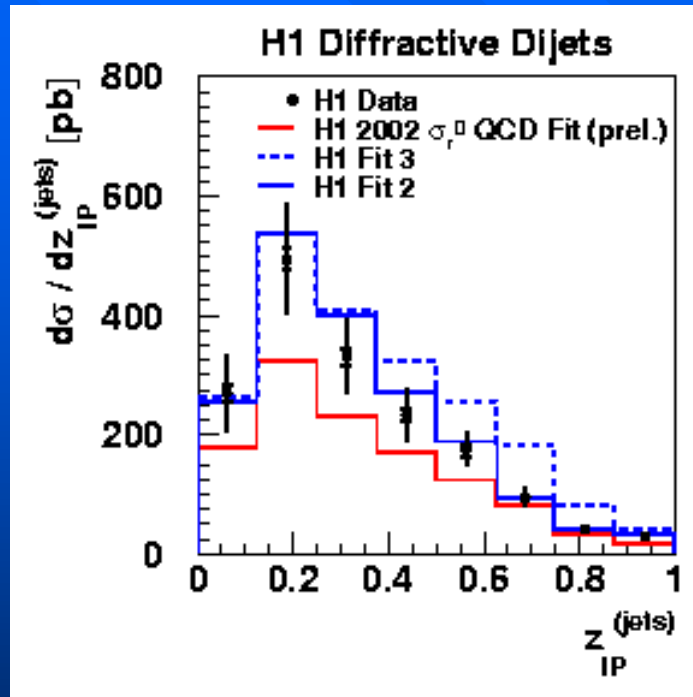
Probability that a gluon from the proton will produce a diffractive process:

at  $x=10^{-3}$  and  $Q^2=4 \text{ GeV}^2$ ,  $P_g=0.4!!$  (unitarity limit is 0.5)

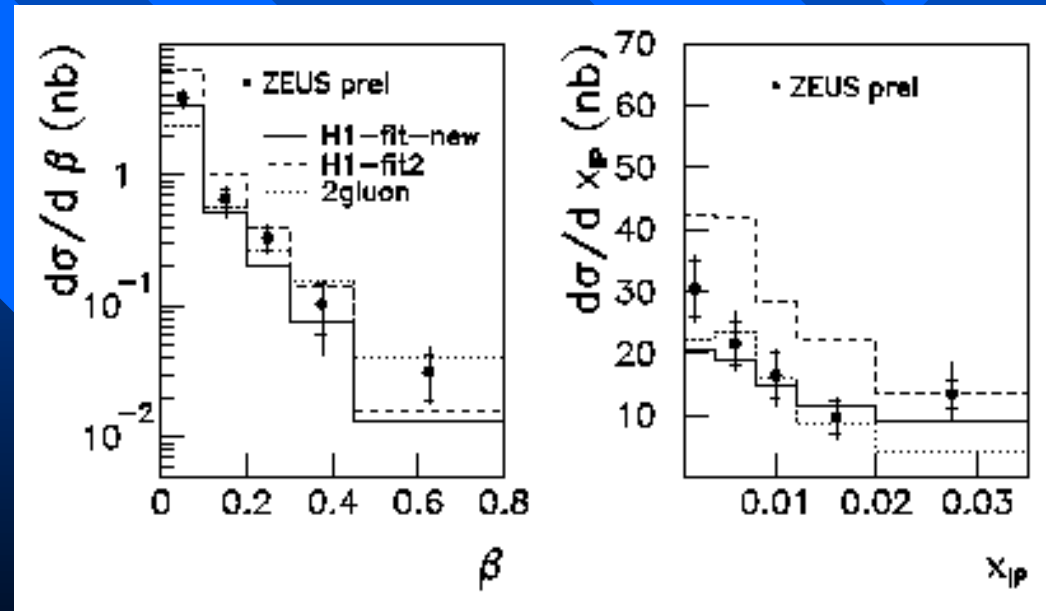
(Frankfurt, Strikman)



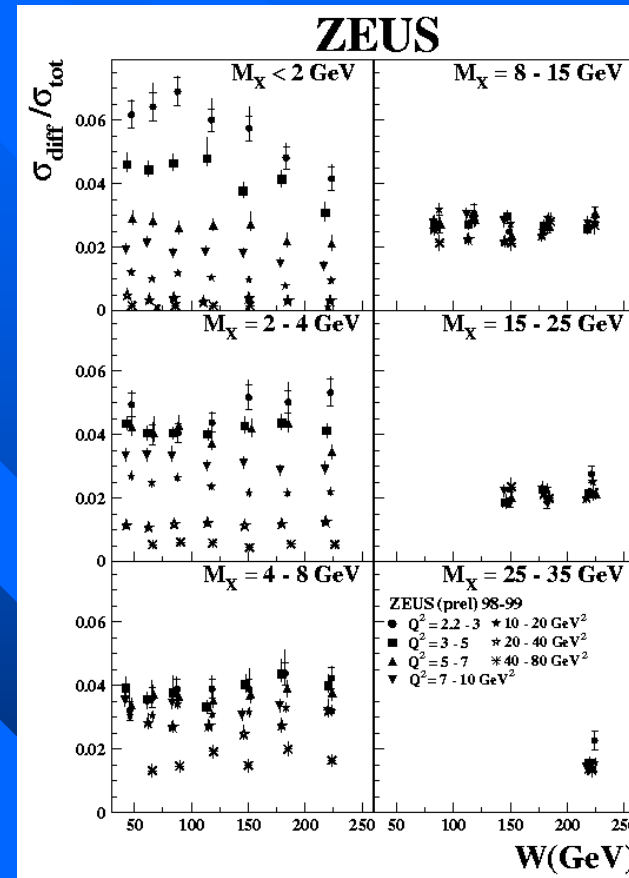
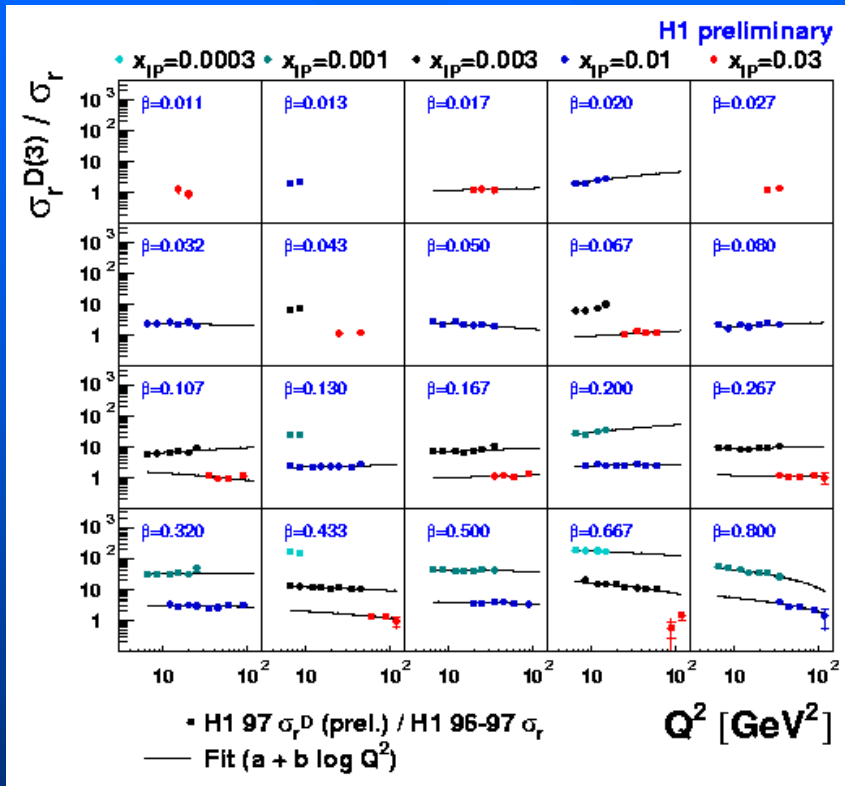
# Application of diffractive pdfs



Diffractive  $D^*$



# Ratios of diff/total



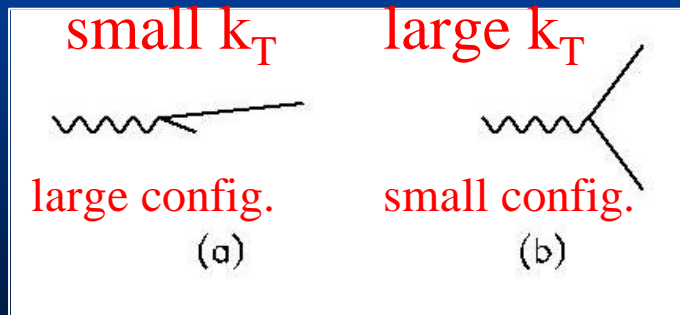
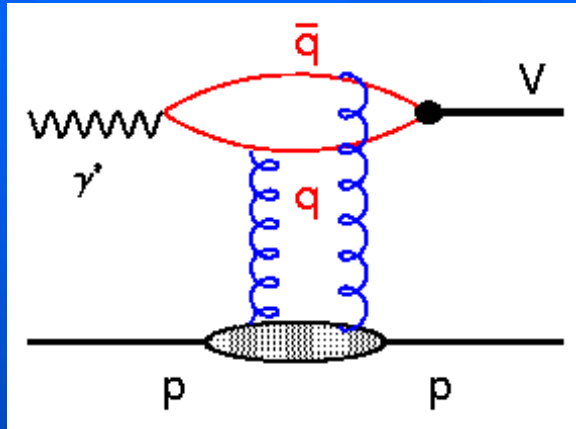
- little  $Q^2$  dependence at high  $M_X$  (low  $\beta$ )
- strong  $Q^2$  dependence at small  $M_X$  (high  $\beta$ )

- Flat in  $W$

# Conclusion on inclusive diffraction

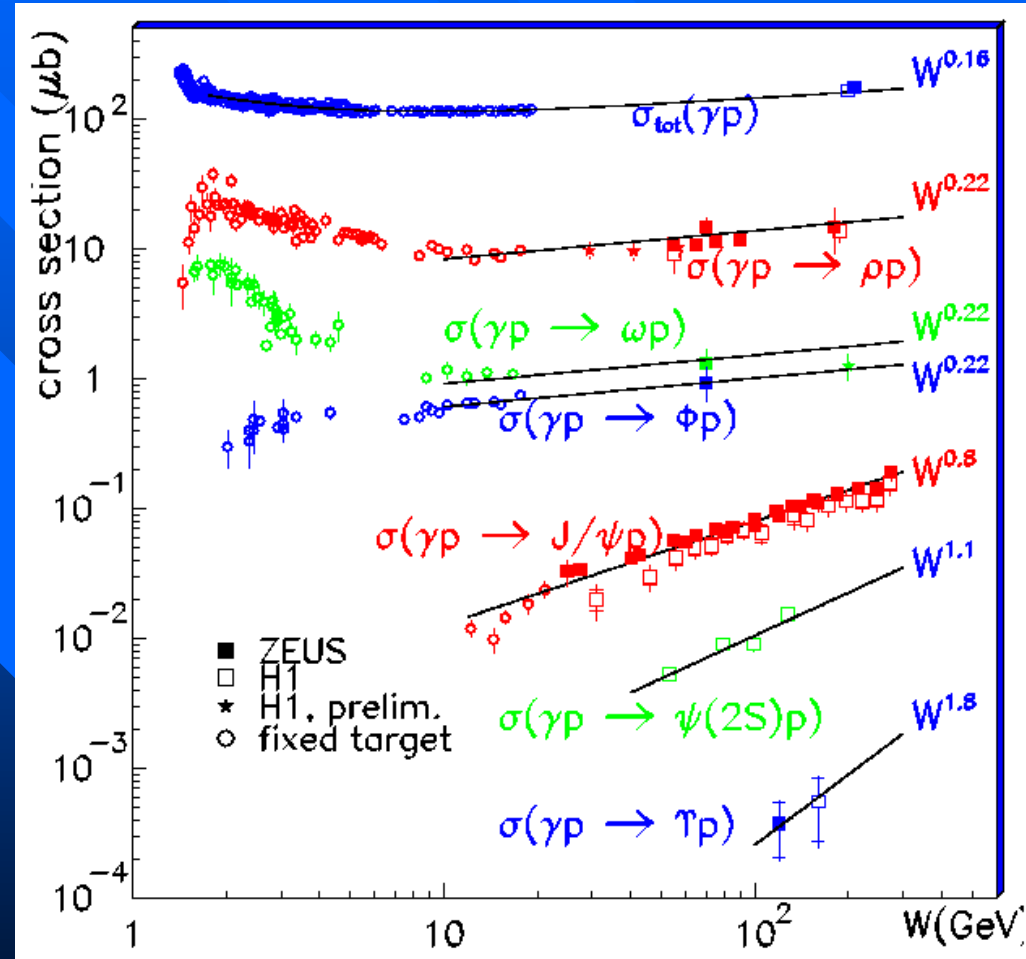
- Diffractive processes – dominated by gluons ( $\sim 80\%$ ). May be close to the unitarity limit ( $P_g \sim 0.4$  at  $x=10^{-3}$ ,  $Q^2=4\text{GeV}^2$ )
- Ratio of diffractive to inclusive cross section: remarkably flat over wide kinematic range.

# Exclusive vector mesons



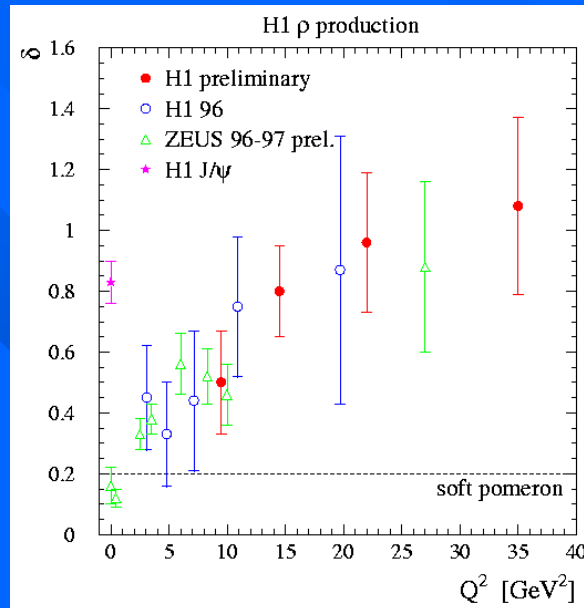
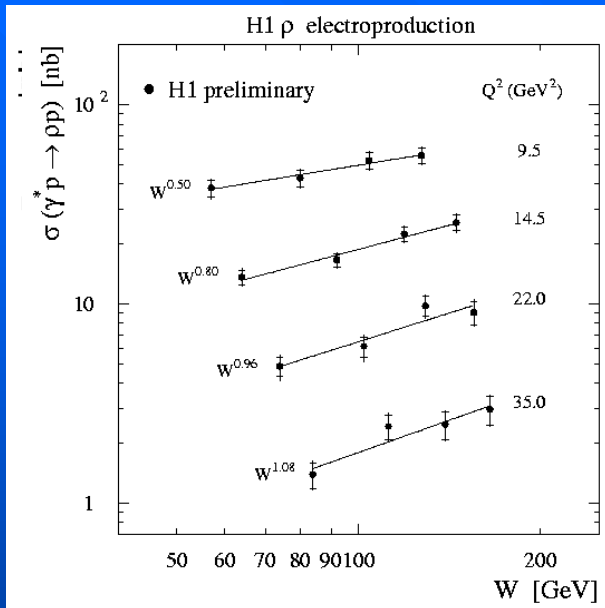
“soft”

“hard”



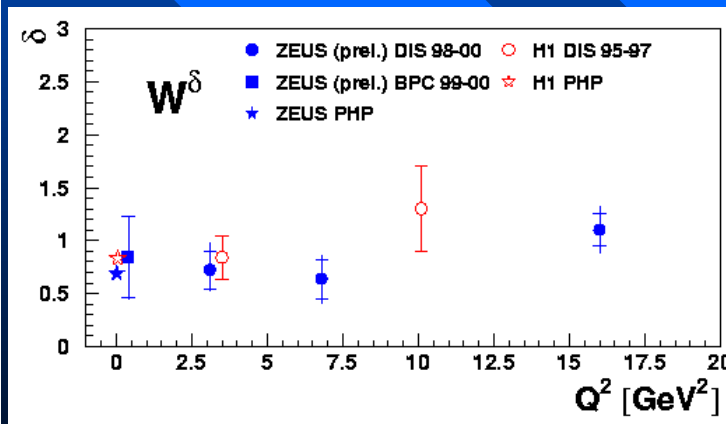
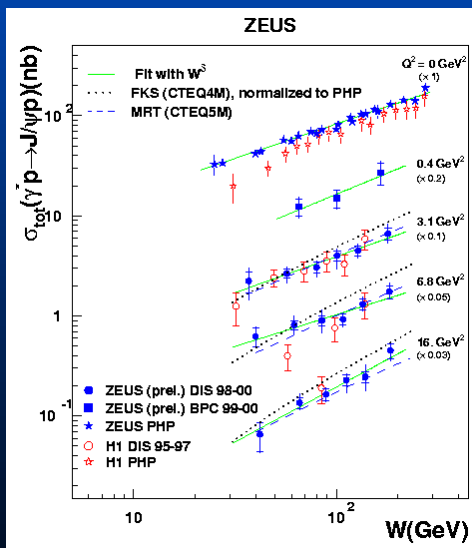
Transition from “soft” to “hard”

# Exclusive vector mesons: $\sigma(W)$



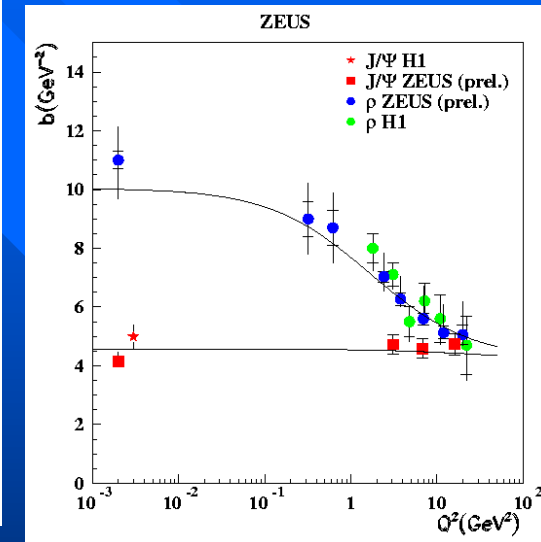
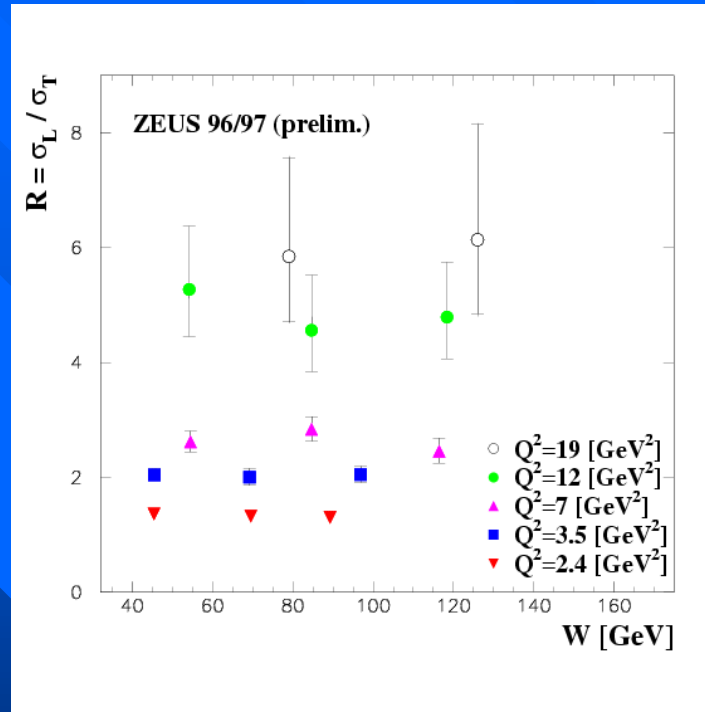
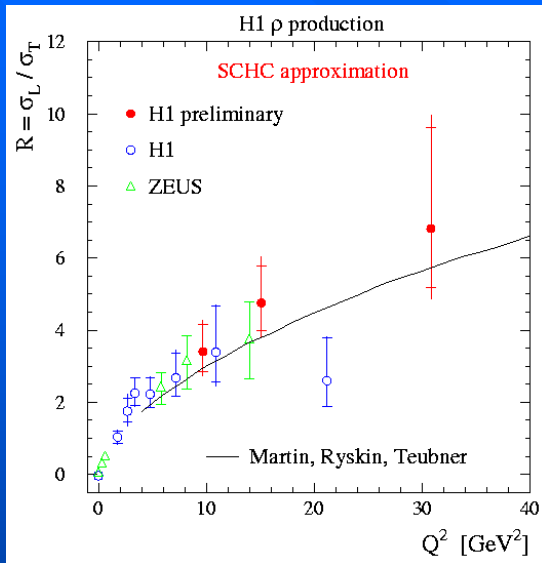
$\sigma_\rho$  gets steeper with  $Q^2$

Transition from soft to hard regime



$\sigma_{J/\psi}$  steep already at  $Q^2=0$

# Exclusive vector mesons: sizes

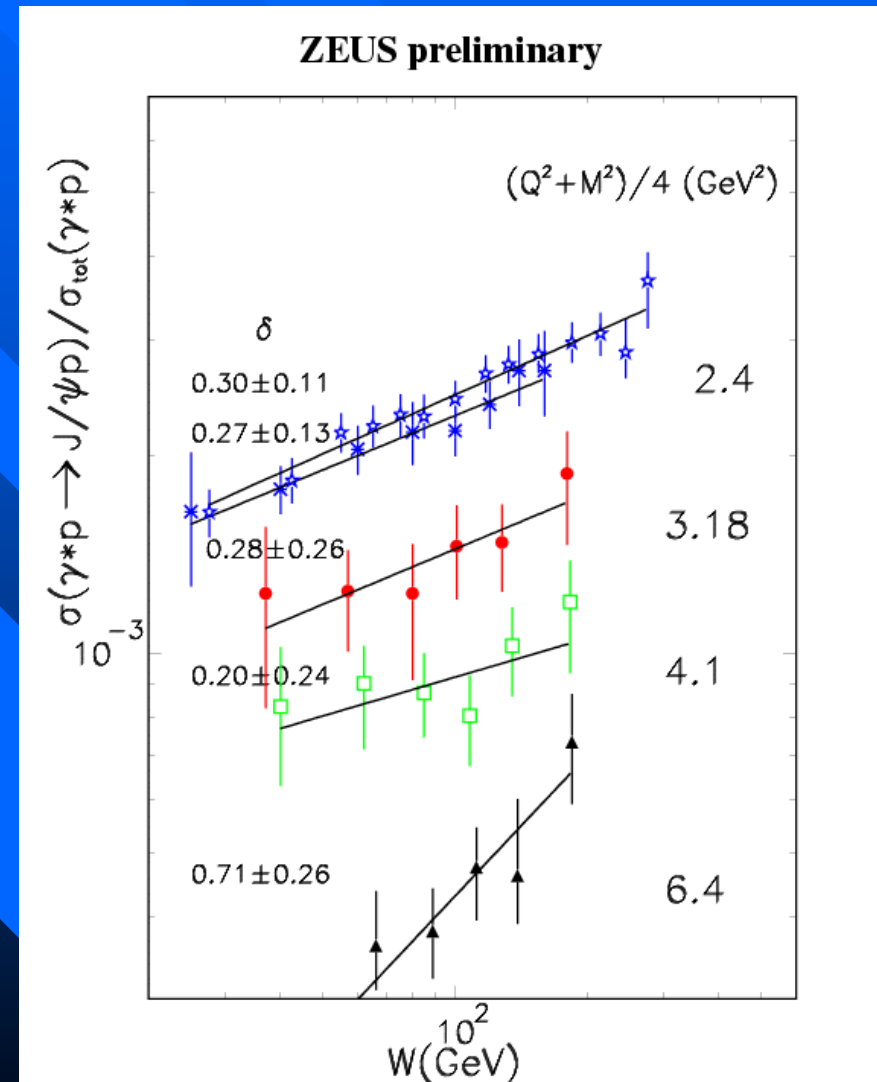
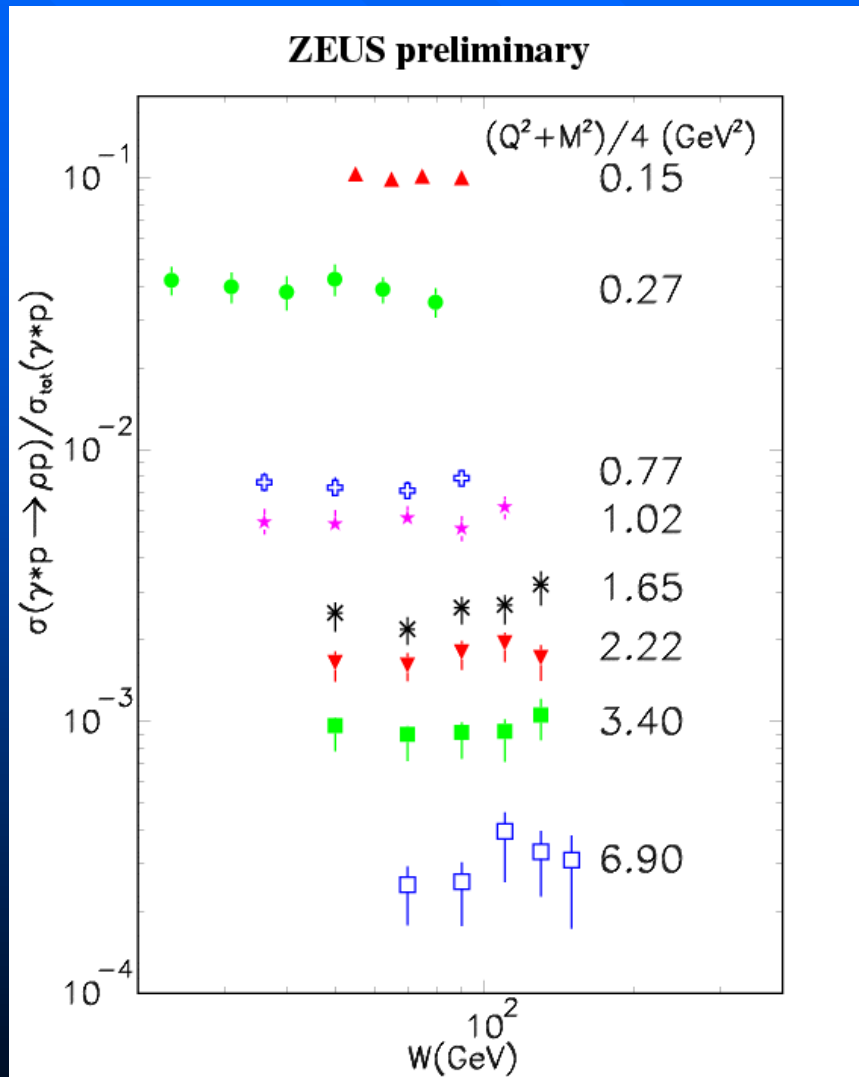


$\sigma_L$  and  $\sigma_T$  have same  $W$  dependence

$\rho$  becomes small with increasing  $Q^2$

$J/\psi$  is small already at  $Q^2 = 0$

# The ratio $\sigma_V/\sigma_{\text{tot}}$



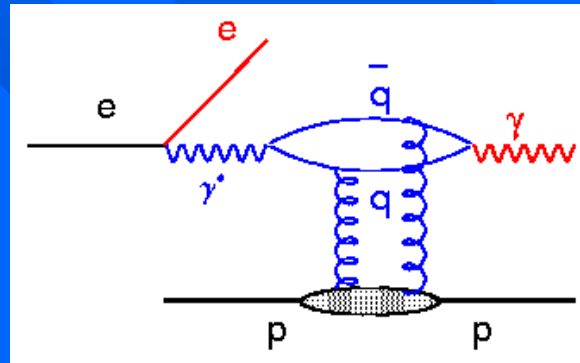
# Conclusions on vector mesons

- $\rho$ : shrinks in size with  $Q^2$  – soft to hard transition
- large configuration of  $\sigma_T$  suppressed
- $\sigma_\rho/\sigma_{\text{tot}}$  flat in  $W$  at low  $Q^2$
  
- $J/\psi$ : small object even at  $Q^2=0$
- $\sigma_{J/\psi}/\sigma_{\text{tot}}$  increases with  $W$

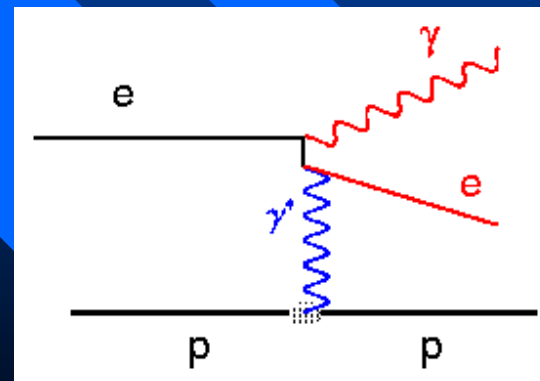
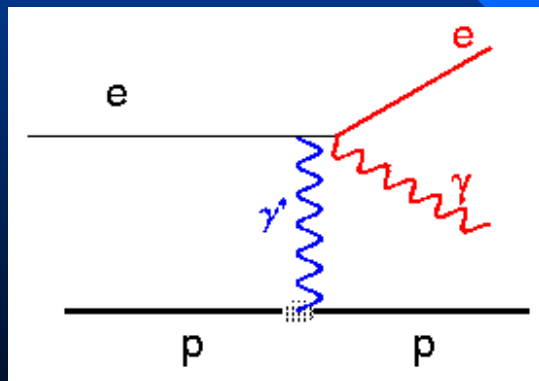


# Deep Virtual Compton Scattering

Signal (QCD)  $\rightarrow$  Generalized Parton Distributions (GPD)

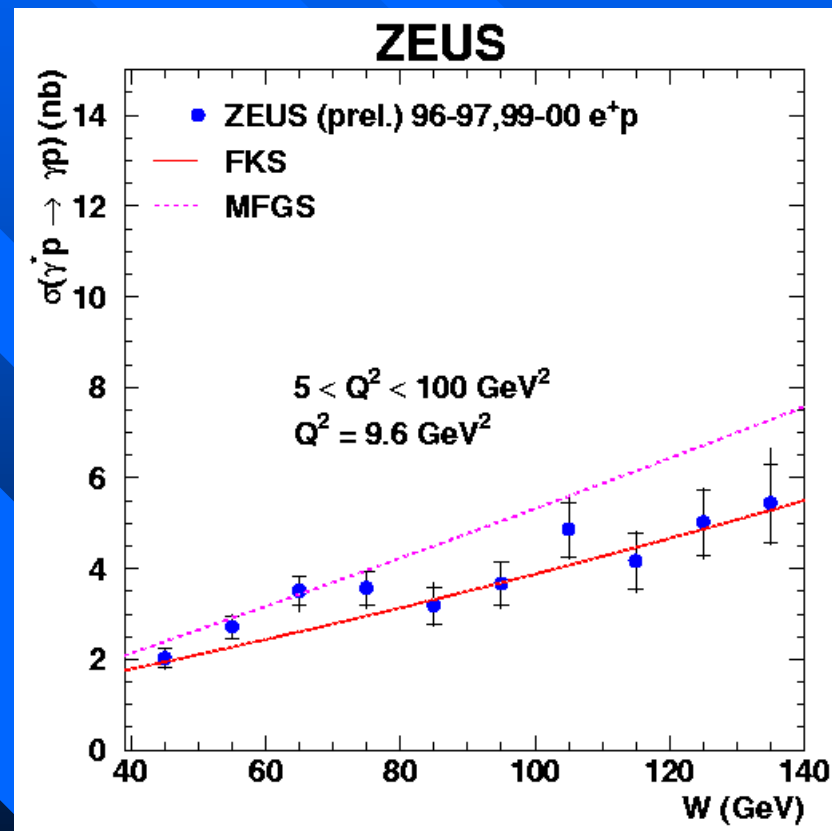
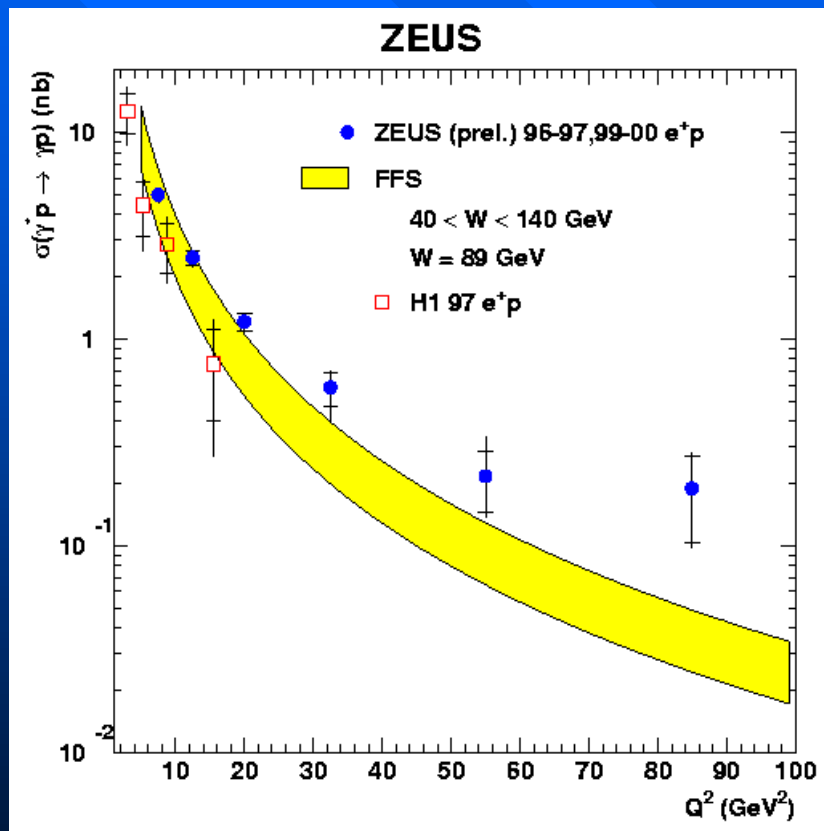


Background (QED) interferes with DVCS, thus sensitive to  $ReA_{\text{QCD}}$



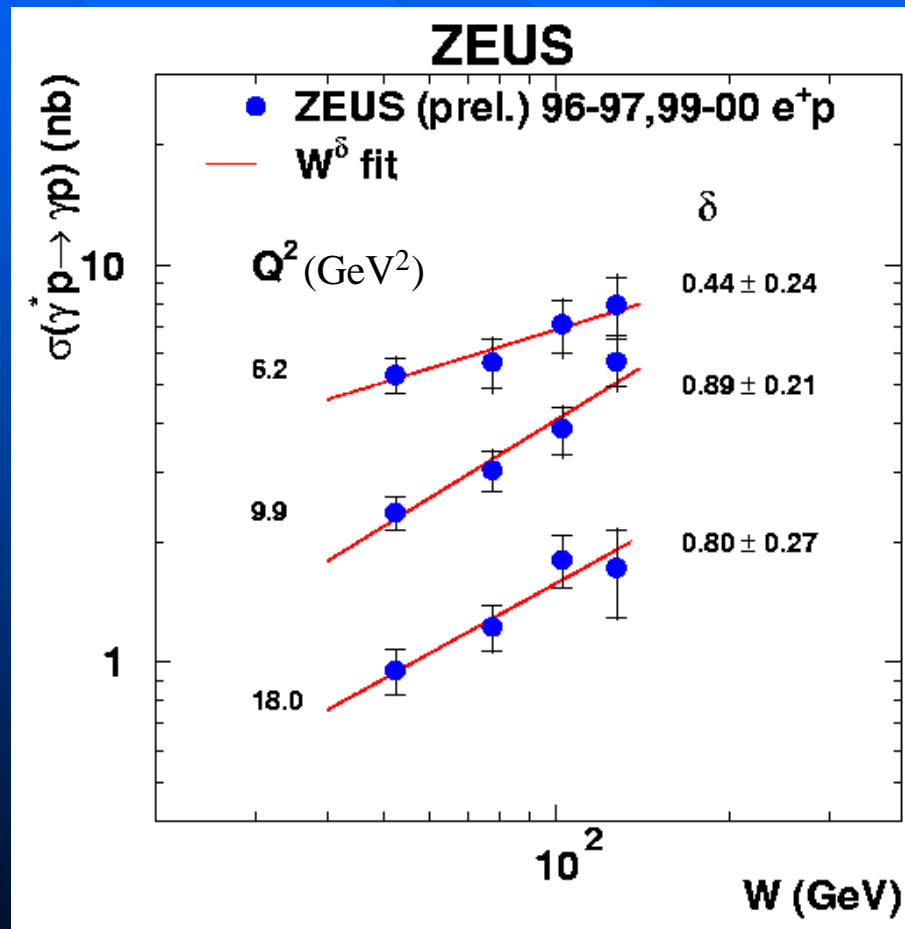
# DVCS

$Q^2$  and  $W$  dependence of  $\sigma(\gamma^*p \rightarrow \gamma p)$



# DVCS

W dependence of  $\sigma(\gamma^*p \rightarrow \gamma p)$  for different  $Q^2$  values



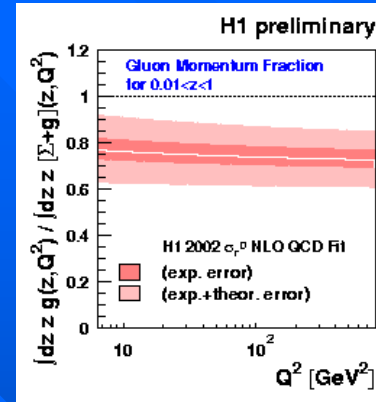
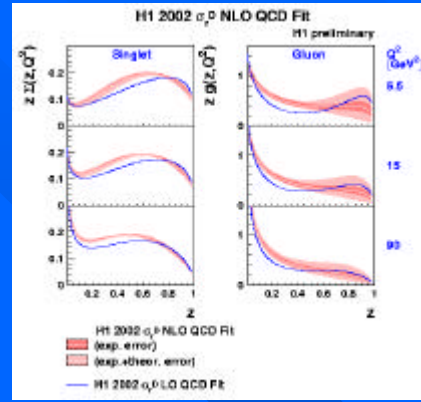
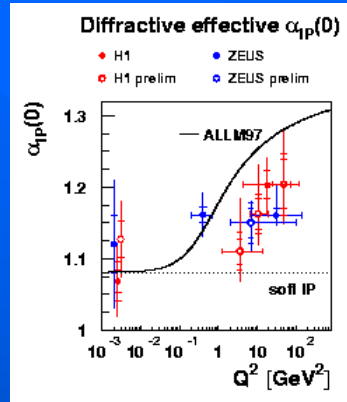
Note: if SCHC holds  
 $\gamma^*$  is transversely pol.

large configurations of  $\sigma_T$   
suppressed

# Conclusions of DVCS

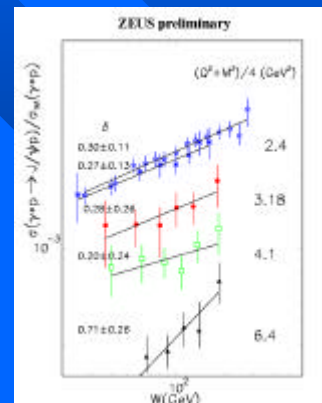
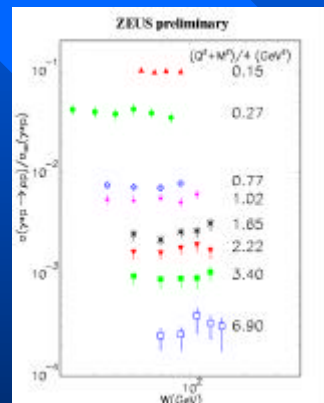
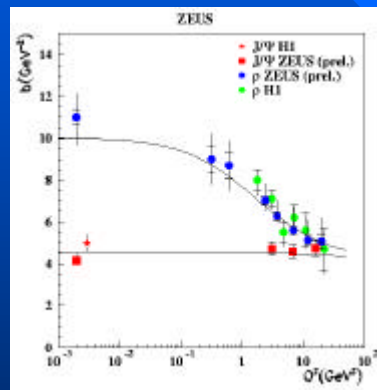
- $W$  dependence of  $\sigma_{\text{DVCS}}$  increases with  $Q^2$
- large configurations of  $\sigma_T$  suppressed
- clean process for obtaining GPDs
- to obtain the 3D GPDs – wait for HERA III

# Summary of diffraction at HERA



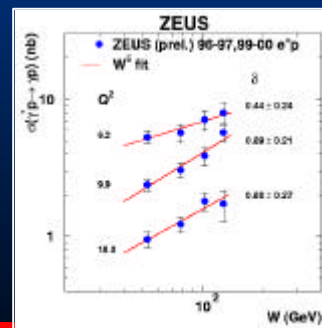
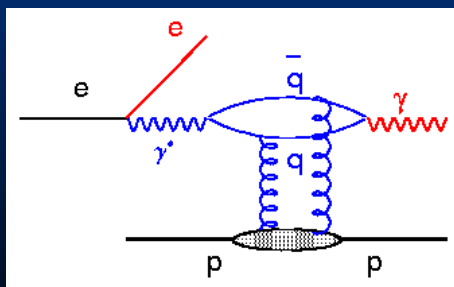
## Inclusive

- Dominated by gluons
- Close to the unitarity limit



## Vector mesons

- ρ size shrinks with  $Q^2$
- large conf. of  $\sigma_T$  suppressed
- $\sigma_V / \sigma_{tot}$  flat for ρ, rises for J/ψ



## DVCS

- $\sigma$  gets steeper with  $Q^2$
- large conf. of  $\sigma_T$  suppressed
- good process for GPDs